



**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: CHIMNEY ROCK VALUE FUND, L.P.  
TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH  
ATTN: BEAU LESCOTT  
350 PARK AVENUE  
NEW YORK NY 10022

CHIMNEY ROCK VALUE FUND, L.P.  
ZIFF BROTHERS INVESTMENTS, L.L.C.  
ATTN: ERICA LEE, GENERAL COUNSEL

Please note that your claim # 28193 in the above referenced case and in the amount of  
\$3,844,316.25 allowed at \$3,687,117.91 has been transferred (unless previously expunged by court order)

JP MORGAN CHASE BANK, N.A.  
TRANSFEROR: CHIMNEY ROCK VALUE FUND, L.P.  
C/O J.P. MORGAN SECURITIES LLC  
ATTN: JEFFREY L. PANZO  
MAIL CODE: NY1-M138  
383 MADISON AVENUE - FLOOR 37  
NEW YORK NY 10179

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 39799 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 08/30/2013

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on August 30, 2013.

**EXHIBIT B**

TIME: 09:50:03  
DATE: 08/30/13

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

Name	Address
BANCA MEDIOLANUM S.P.A.	TRANSFEROR: INTESA SANPAOLO PRIVATE BANKING S.P.A. ATTN: HEAD OF OPERATION CONTROL UNIT VIA F. SFORZA, 15 BASIGLIO MILANO 20080 ITALY
BANCA MEDIOLANUM S.P.A.	TRANSFEROR: INTESA SANPAOLO S.P.A. ATTN: HEAD OF OPERATION CONTROL UNIT VIA F. SFORZA, 15 BASIGLIO MILANO 20080 ITALIA
BANCA MONTE DEI PASCHI DI SIENA S.P.A.	ATTN: GIANLUCA SERRA PIAZZA SALIMBENI, 3 SIENA 53100 ITALY
BANCA SELLA HOLDING S.P.A.	TRANSFEROR: BANCA MONTE DEI PASCHI DI SIENA S.P.A. ATTN: ANNA GROSSO; CARLO NEGRO PIAZZA G. SELLA I BIELLA 13900 ITALY
BERNER KANTONALBANK AG	TRANSFEROR: UBS AG ATTN: SAMUEL STUCKI POSTFACH BERN CH 3001 SWITZERLAND
CHIMNEY ROCK VALUE FUND, L.P.	DAVIS POLK & WARDWELL LLP ATTN: ERIC RUIZ 450 LEXINGTON AVENUE NEW YORK NY 10017-3904
CHIMNEY ROCK VALUE FUND, L.P.	ZIFF BROTHERS INVESTMENTS, L.L.C. ATTN: ERICA LEE, GENERAL COUNSEL
CHIMNEY ROCK VALUE FUND, L.P.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH ATTN: BEAU LESCOTT 350 PARK AVENUE NEW YORK NY 10022
CITIBANK, N.A., LONDON BRANCH	ATTENTION: RANJIT CHATTERJI CITIGROUP CENTRE, CANARY WHARF LONDON E14 5LB UNITED KINGDOM
CITIBANK, N.A., LONDON BRANCH	TRANSFEROR: LEHMAN BROTHERS INTERNATIONAL (EUROPE) (IN ADMINISTRATION) ATTENTION: RANJIT CHATTERJI CITIGROUP CENTRE, CANARY WHARF LONDON E14 5LB UNITED KINGDOM
CITIGROUP FINANCIAL PRODUCTS INC.	TRANSFEROR: OZ SPECIAL MASTER FUND, LTD. ATTN: SCOTT R. EVAN 1615 BRETT ROAD, BLDG. 3 NEW CASTLE DE 19720
INTESA SANPAOLO PRIVATE BANKING S.P.A.	REF. PAOLO POLLASTRI (LEGAL DEPARTMENT) VIA HOEPLI, 10 MILANO 20121 ITALY
INTESA SANPAOLO S.P.A.	ATTN: LUIGI FIORI CARONES LEGAL DEPARTMENT VIA VERDI 8 MILANO 20121 ITALY
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LEHMAN BROTHERS INTERNATIONAL (EUROPE)	(IN ADMINISTRATION) ATTN: STEVEN ANTHONY PEARSON 25 BANK STREET LONDON E14 5LE UNITED KINGDOM
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LEHMAN BROTHERS INTERNATIONAL (EUROPE)	PRICEMATERHOUSECOOPERS LLP ATTN: STEVEN ANTHONY PEARSON PLUMTREE COURT LONDON EC4A 4HT UNITED KINGDOM
LEHMAN BROTHERS INTERNATIONAL (EUROPE)	LINKLATORS LLP ATTN: TITIA HOLTZ KIAH BEVERLY-GRAHAM 1345 AVENUE OF THE AMERICAS NEW YORK NY 10105
OZ SPECIAL MASTER FUND, LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH C/O OCH-ZIFF CAPITAL MANAGEMENT GROUP; ATTN: LESLIE EVANS 9 WEST 57TH STREET, 13TH FLOOR NEW YORK NY 10019
UBS AG	BAHNHOFSTR. 45 ATTN: HUGO KOLLER ZURICH 8001 SWITZERLAND
UBS AG	ATTN: HUGO KOLLER, OQ9C/O5GC P.O. BOX ZURICH 8098 SWITZERLAND

Total Number of Records Printed

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